

THE COLLEGE OF ARTS + SCIENCES
Department of Physics

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# **The Electron-Ion Collider (EIC)**

Lec. 1: EIC & Fundamentals of QCD Lec. 2: Probing Structure of Hadrons without seeing Quark/Gluon? - breaking the hadron! Lec. 3: Probing Structure of Hadrons with polarized beam(s) - Spin as another knob Lec. 4: Probing Structure of Hadrons without breaking them? **Dense Systems of gluons** – Nuclei as Femtosize Detectors





Jianwei Qiu Theory Center, Jefferson Lab





Office of Science

## **TMDs:** Correlation between Hadron Property and Parton Flavor-Spin-Motion

#### Quantum correlation between hadron spin and parton motion:







**Polarized hadron** 

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Parton's transverse polarization influences its hadronization

Fig. 2.7 NAS Report



Explore new QCD dynamics by varying the spin orientation

**Cross section:** 

**Scattering amplitude square – Probability – Positive definite** 

 $A_L, A_N$ 

$$\sigma_{AB}(Q,\vec{s}) \approx \sigma_{AB}^{(2)}(Q,\vec{s}) + \frac{Q_s}{Q} \sigma_{AB}^{(3)}(Q,\vec{s}) + \frac{Q_s^2}{Q^2} \sigma_{AB}^{(4)}(Q,\vec{s}) + \cdots$$

□ Spin-averaged cross section:

$$\sigma = \frac{1}{2} \left[ \sigma(\vec{s}) + \sigma(-\vec{s}) \right]$$
 – Positive definite

Asymmetries or difference of cross sections:

• both beams polarized  $A_{LL}, A_{TT}, A_{LT}$ 

- Not necessary positive!

$$A_{LL} = \frac{[\sigma(+,+) - \sigma(+,-)] - [\sigma(-,+) - \sigma(-,-)]}{[\sigma(+,+) + \sigma(+,-)] + [\sigma(-,+) + \sigma(-,-)]} \quad \text{for } \sigma(s_1,s_2)$$

one beam polarized

$$A_L = \frac{[\sigma(+) - \sigma(-)]}{[\sigma(+) + \sigma(-)]} \quad \text{for } \sigma(s) \qquad \qquad A_N = \frac{\sigma(Q, \vec{s}_T) - \sigma(Q, -\vec{s}_T)}{\sigma(Q, \vec{s}_T) + \sigma(Q, -\vec{s}_T)}$$

Chance to see quantum interference directly



□ Nucleon Spin – without it, our visible world would not be the same!

#### **Proton is a composite particle:**

Spin is a consequence of internal dynamics of the bound state

For example, the nucleon-nucleon interaction and shell structure determines the observed nuclear spin states

Decomposition of proton spin in terms of quark and gluon d.o.f. helps to understand the dynamics of a fundamental QCD bound state

- Nucleon is a building block of all hadronic matter (> 95% mass of all visible matter)

#### **Use the spin as a tool – asymmetries:**

**Cross section is a probability – classically measured** 

Spin asymmetry – the difference of two cross sections involving two different spin states

Asymmetry could be a pure quantum effect!



**Spin:** 

- ♦ Pauli (1924): "two-valued quantum degree of freedom" of electron 1<sup>st</sup> formulation of spin
- $\Rightarrow$  Pauli/Dirac:  $S = \hbar \sqrt{s(s+1)}$  (fundamental constant  $\hbar$  )
- **Composite particle = Total angular momentum when it is at rest**

#### **Spin of a nucleus:**

- Nuclear binding: 8 MeV/nucleon << mass of nucleon</p>
- ♦ Nucleon number is fixed inside a given nucleus
- ♦ Spin of a nucleus = sum of the valence nucleon's spin

#### **Spin of a nucleon – Naïve Quark Model:**

- ♦ If the probing energy << mass of constituent quark</p>
- ♦ Nucleon is made of three constituent (valence) quarks
- ♦ Spin of a nucleon = sum of the constituent quark's spin

State: 
$$|p\uparrow\rangle = \sqrt{\frac{1}{18}} [u\uparrow u \downarrow d\uparrow + u \downarrow u\uparrow d\uparrow -2u\uparrow u\uparrow d\downarrow + perm.]$$
  
Spin:  $S_p = \langle p\uparrow | S | p\uparrow\rangle = \frac{1}{2}, \quad S = \sum_i S_i$  Carried by valence quarks



Pauli and Bohr observing spin, 1954





### **Spin of a nucleon – QCD:**

- ♦ Current quark mass << energy exchange of the collision</p>
- $\diamond\,$  Number of quarks and gluons depends on the probing energy

□ Angular momentum of a proton at rest:

$$S = \sum_{f} \langle P, S_z = 1/2 | \hat{J}_f^z | P, S_z = 1/2 \rangle = \frac{1}{2}$$

**QCD** Angular momentum operator:

$$J_{\rm QCD}^i = \frac{1}{2} \,\epsilon^{ijk} \int d^3x \,\, M_{\rm QCD}^{0jk}$$

 $\diamond$  Quark angular momentum operator:

$$\vec{J}_q = \int d^3x \left[ \psi_q^{\dagger} \vec{\gamma} \gamma_5 \psi_q + \psi_q^{\dagger} (\vec{x} \times (-i\vec{D})) \psi_q \right]$$

 $\diamond$  Gluon angular momentum operator:

$$\vec{J}_g = \int d^3x \left[ \vec{x} \times (\vec{E} \times \vec{B}) \right]$$



#### **Energy-momentum tensor**

$$M_{\rm QCD}^{\alpha\mu\nu} = T_{\rm QCD}^{\alpha\nu} x^{\mu} - T_{\rm QCD}^{\alpha\mu} x^{\nu}$$

Angular momentum density

Understanding how quark/gluon contribute to proton's spin needs to have the matrix elements of these partonic operators measured independently



### **Current Understanding for Proton Spin**

#### **The sum rule:**

$$S(\mu) = \sum_{f} \langle P, S | \hat{J}_{f}^{z}(\mu) | P, S \rangle = \frac{1}{2} \equiv J_{q}(\mu) + J_{g}(\mu)$$

- Infinite possibilities of decompositions connection to observables?
- Intrinsic properties + dynamical motion and interactions



#### **DIS with polarized beam(s):**



"Resolution"  $Q \equiv \sqrt{-q^2}$   $\frac{\hbar}{Q} = \frac{2 \times 10^{-16} \text{m}}{Q/\text{GeV}} \lesssim 10^{-16} \text{m} = 1/10 \text{fm}$ "Inelasticity" – known as Bjorken variable

$$x_B = \frac{Q^2}{2P \cdot q} = \frac{Q^2}{Q^2 + M_X^2 - m^2}$$

 $\diamond$  Recall – from lecture 2:

$$W_{\mu\nu} = -\left(g_{\mu\nu} - \frac{q_{\mu}q_{\nu}}{q^{2}}\right)F_{1}\left(x_{B},Q^{2}\right) + \frac{1}{p \cdot q}\left(p_{\mu} - q_{\mu}\frac{p \cdot q}{q^{2}}\right)\left(p_{\nu} - q_{\nu}\frac{p \cdot q}{q^{2}}\right)F_{2}\left(x_{B},Q^{2}\right) + \frac{iM_{p}\varepsilon^{\mu\nu\rho\sigma}q_{\rho}\left[\frac{S_{\sigma}}{p \cdot q}g_{1}\left(x_{B},Q^{2}\right) + \frac{(p \cdot q)S_{\sigma} - (S \cdot q)p_{\sigma}}{(p \cdot q)^{2}}g_{2}\left(x_{B},Q^{2}\right)\right]$$

♦ Polarized structure functions:

 $g_1(x_B, Q^2), \ g_2(x_B, Q^2)$ 



**Extract the polarized structure functions:** 

 $\mathcal{W}^{\mu\nu}(P,q,\mathbf{S}) - \mathcal{W}^{\mu\nu}(P,q,-\mathbf{S})$ 

- $\diamondsuit$  Define:  $\angle(\hat{k},\hat{S})=\alpha$  , and lepton helicity  $\lambda$
- $\diamond~$  Difference in cross sections with hadron spin flipped



♦ Spin orientation:

$$lpha = 0 : \Rightarrow g_1$$
  
 $lpha = \pi/2 : \Rightarrow yg_1 + 2g_2$ , suppressed  $m/Q$ 





### **Polarized Deep Inelastic Scattering**

**Spin asymmetries – measured experimentally:** 

 $\diamond$  Longitudinal polarization –  $\alpha = 0$ 

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### **Polarized Deep Inelastic Scattering**



♦ Polarized quark distribution:

$$\Delta f(\xi) \equiv f^+(\xi) - f^-(\xi)$$

Information on nucleon's spin structure



### **Polarized Deep Inelastic Scattering**

□ Systematics polarized PDFs – LO QCD:



♦ Two-quark correlator:

$$\Phi_{ij}(k,P,S) = \sum_{X} \int \frac{\mathrm{d}^{3} \mathbf{P}_{X}}{(2\pi)^{3} 2E_{X}} (2\pi)^{4} \,\delta^{4}(P-k-P_{X}) \,\langle PS | \bar{\psi}_{j}(0) | X \rangle \,\langle X | \psi_{i}(0) | PS \rangle$$

$$= \int \mathrm{d}^4 z \, \mathrm{e}^{ik \cdot z} \left\langle PS \,|\, \bar{\psi}_j(0) \,\psi_i(z) \,|\, PS \right\rangle$$

♦ Hadronic tensor (one –flavor):

$$\mathcal{W}^{\mu\nu} = e^2 \int \frac{\mathrm{d}^4 k}{(2\pi)^4} \,\delta\left((k+q)^2\right) \,\operatorname{Tr}\left[\Phi \gamma^{\mu}(\not\!\!k + \not\!\!q)\gamma^{\nu}\right]$$



#### $\diamond$ General expansion of $\phi(x)$ :

must have general expansion in terms of  $P, \not n, \not s$  etc.

$$\phi(x) = \frac{1}{2} \left[ q(x)\gamma \cdot P + s_{\parallel} \Delta q(x)\gamma_5\gamma \cdot P + \delta q(x)\gamma \cdot P\gamma_5\gamma \cdot S_{\perp} \right]$$

♦ 3-leading power quark parton distribution:

$$q(x) = \frac{1}{4\pi} \int dz^{-} e^{iz^{-}xP^{+}} \langle P, S | \bar{\psi}(0) \gamma^{+} \psi \left(0, z^{-}, \mathbf{0}_{\perp}\right) | P, S \rangle$$
  

$$\Delta q(x) = \frac{1}{4\pi} \int dz^{-} e^{iz^{-}xP^{+}} \langle P, S | \bar{\psi}(0) \gamma^{+} \gamma_{5} \psi \left(0, z^{-}, \mathbf{0}_{\perp}\right) | P, S \rangle$$
  

$$\delta q(x) = \frac{1}{4\pi} \int dz^{-} e^{iz^{-}xP^{+}} \langle P, S | \bar{\psi}(0) \gamma^{+} \gamma_{\perp} \gamma_{5} \psi \left(0, z^{-}, \mathbf{0}_{\perp}\right) | P, S \rangle$$
  
"unpolarized" – "longitudinally polarized" – "transversity"



#### **D** Physical interpretation:

$$q(x) = \frac{1}{2} \sum_{X} \delta \left( P_{X}^{+} - (1 - x)P^{+} \right)$$
  

$$\times \left[ \left| \langle X | \mathcal{P}^{+} \psi_{+}(0) | P, \lambda = \frac{1}{2} \rangle \right|^{2} + \left| \langle X | \mathcal{P}^{-} \psi_{+}(0) | P, \lambda = \frac{1}{2} \rangle \right|^{2} \right]$$
  

$$\mathcal{P}^{\pm} \equiv \frac{1 \pm \gamma_{5}}{2}$$

$$\Delta q(x) = \frac{1}{2} \sum_{X} \delta \left( P_X^+ - (1 - x) P^+ \right) \qquad \text{and}$$

$$\times \left[ \left| \langle X | \mathcal{P}^+ \psi_+(0) | P, \lambda = \frac{1}{2} \rangle \right|^2 - \left| \langle X | \mathcal{P}^- \psi_+(0) | P, \lambda = \frac{1}{2} \rangle \right|^2 \right] \qquad \mathcal{P}^{\uparrow\downarrow} \equiv \frac{1 \pm \gamma_\perp \gamma_5}{2}$$

$$S_{\bullet}(x) = e^{-1} \sum_{X} S(P_X^+, (1 - x) P_X^+)$$

$$\delta q(x) = \frac{1}{2} \sum_{X} \delta(P_X^+ - (1 - x)P^+)$$

$$\times \left[ \left| \langle X | \mathcal{P}^{\uparrow} \psi_+(0) | P, S_\perp = \frac{1}{2} \rangle \right|^2 - \left| \langle X | \mathcal{P}^{\downarrow} \psi_+(0) | P, S_\perp = \frac{1}{2} \rangle \right|^2 \right]$$



#### **Factorized cross section:**

 $\sigma_{h(p)}(Q,s) \propto \langle p, \vec{s} | \mathcal{O}(\psi, A^{\mu}) | p, \vec{s} \rangle$ 

□ Parity and Time-reversal invariance:

e.g.  $\mathcal{O}(\psi, A^{\mu}) = \overline{\psi}(0) \,\hat{\Gamma} \,\psi(y^{-})$ with  $\hat{\Gamma} = I, \gamma_5, \gamma^{\mu}, \gamma_5 \gamma^{\mu}, \sigma^{\mu\nu}$ 

 $\langle p, \vec{s} | \mathcal{O}(\psi, A^{\mu}) | p, \vec{s} \rangle = \langle p, -\vec{s} | \mathcal{PTO}^{\dagger}(\psi, A^{\mu}) \mathcal{T}^{-1} \mathcal{P}^{-1} | p, -\vec{s} \rangle$ 

$$\Box \text{ IF:} \qquad \langle p, -\vec{s} | \mathcal{PTO}^{\dagger}(\psi, A^{\mu}) \mathcal{T}^{-1} \mathcal{P}^{-1} | p, -\vec{s} \rangle = \pm \langle p, -\vec{s} | \mathcal{O}(\psi, A^{\mu}) | p, -\vec{s} \rangle$$

or  $\langle p, \vec{s} | \mathcal{O}(\psi, A^{\mu}) | p, \vec{s} \rangle = \pm \langle p, -\vec{s} | \mathcal{O}(\psi, A^{\mu}) | p, -\vec{s} \rangle$ 

Operators lead to the "+" sign - spin-averaged cross sections

**Operators lead to the "-" sign**  $\implies$  **spin asymmetries** 

**Example:** 

$$\mathcal{O}(\psi, A^{\mu}) = \overline{\psi}(0) \gamma^{+} \psi(y^{-}) \Rightarrow q(x)$$
  

$$\mathcal{O}(\psi, A^{\mu}) = \overline{\psi}(0) \gamma^{+} \gamma_{5} \psi(y^{-}) \Rightarrow \Delta q(x)$$
  

$$\mathcal{O}(\psi, A^{\mu}) = \overline{\psi}(0) \gamma^{+} \gamma^{\perp} \gamma_{5} \psi(y^{-}) \Rightarrow \delta q(x) \rightarrow h(x)$$
  

$$\mathcal{O}(\psi, A^{\mu}) = \frac{1}{xp^{+}} F^{+\alpha}(0) [-i\varepsilon_{\alpha\beta}] F^{+\beta}(y^{-}) \Rightarrow \Delta g(x)$$



### **Proton "Spin Crisis" – Excited the Field**

#### □ EMC (European Muon Collaboration '87) – "the Plot":



**G** "Spin crisis" or puzzle:

 $\diamond$  Strange sea polarization is sizable & negative

 $\diamond$  Very little of the proton spin is carried by quarks

New era of spin physics



### Determination of $\Delta q$ and $\Delta q$



- □ Flavor separation:
  - Lowest order:

- Forward W<sup>+</sup> (backward e<sup>+</sup>):
- Backward W<sup>+</sup> (forward e<sup>+</sup>):

### **Complications:**

High order, W's  $p_T$ -distribution at low  $p_T$ 



$$\begin{split} A_{L}^{W^{+}} &= -\frac{\Delta u(x_{1})\bar{d}(x_{2}) - \Delta \bar{d}(x_{1})u(x_{2})}{u(x_{1})\bar{d}(x_{2}) + \bar{d}(x_{1})u(x_{2})} \\ & x_{1} = \frac{M_{W}}{\sqrt{s}}e^{y_{W}}, \quad x_{2} = \frac{M_{W}}{\sqrt{s}}e^{-y_{W}} \\ & A_{L}^{W^{+}} \approx -\frac{\Delta u(x_{1})}{u(x_{1})} < 0 \\ & A_{L}^{W^{+}} \approx -\frac{\Delta \bar{d}(x_{2})}{\bar{d}(x_{2})} < 0 \end{split}$$



### What the EIC can do – EIC Yellow Report?



### Transverse spin phenomena in QCD

40 years ago, Profs. Christ and Lee proposed to use A<sub>N</sub> of inclusive DIS to test the Time-Reversal invariance
N. Christ and T.



N. Christ and T.D. Lee, Phys. Rev. 143, 1310 (1966)

#### Single Transverse-Spin Asymmetry (SSA)

$$A(\ell, \vec{s}) \equiv \frac{\Delta \sigma(\ell, \vec{s})}{\sigma(\ell)} = \frac{\sigma(\ell, \vec{s}) - \sigma(\ell, -\vec{s})}{\sigma(\ell, \vec{s}) + \sigma(\ell, -\vec{s})}$$

#### **They predicted:**

In the approximation of one-photon exchange,  $A_N$  of inclusive DIS vanishes if Time-Reversal is invariant for EM and Strong interactions



**DIS cross section:** 

$$\sigma(\vec{s}_{\perp}) \propto L^{\mu\nu} W_{\mu\nu}(\vec{s}_{\perp})$$

**Leptionic tensor is symmetric**:

$$L^{\mu\nu} = L^{\nu\mu}$$

**Hadronic tensor:** 

$$W_{\mu\nu}(\vec{s}_{\perp}) \propto \langle P, \vec{s}_{\perp} | j^{\dagger}_{\mu}(0) j_{\nu}(y) | P, \vec{s}_{\perp} \rangle$$

**Polarized cross section:** 

$$\Delta\sigma(\vec{s}_{\perp}) \propto L^{\mu\nu} \left[ W_{\mu\nu}(\vec{s}_{\perp}) - W_{\mu\nu}(-\vec{s}_{\perp}) \right]$$

□ Vanishing single spin asymmetry:

$$\begin{array}{rcl} \boldsymbol{A}_{N} = \boldsymbol{0} & \Longleftrightarrow & \langle \boldsymbol{P}, \vec{s}_{\perp} | \, \boldsymbol{j}_{\mu}^{\dagger}(\boldsymbol{0}) \, \boldsymbol{j}_{\nu}(\boldsymbol{y}) \, | \boldsymbol{P}, \vec{s}_{\perp} \rangle \\ & & \stackrel{2}{=} \langle \boldsymbol{P}, -\vec{s}_{\perp} | \, \boldsymbol{j}_{\nu}^{\dagger}(\boldsymbol{0}) \, \boldsymbol{j}_{\mu}(\boldsymbol{y}) \, | \boldsymbol{P}, -\vec{s}_{\perp} \rangle \end{array}$$



#### **Define two quantum states:**

$$\langle \beta | \equiv \langle P, \vec{s}_{\perp} | j^{\dagger}_{\mu}(0) j_{\nu}(y)$$

$$|lpha
angle\equiv|P,ec{s}_{\perp}
angle$$

**Time-reversed states:** 

$$\begin{aligned} |\alpha_T\rangle &= V_T |P, \vec{s}_\perp\rangle = |-P, -\vec{s}_\perp\rangle \\ |\beta_T\rangle &= V_T \left[ j^{\dagger}_{\mu}(0) j_{\nu}(\boldsymbol{y}) \right]^{\dagger} |P, \vec{s}_\perp\rangle \\ &= \left( V_T j^{\dagger}_{\nu}(\boldsymbol{y}) V_T^{-1} \right) \left( V_T j_{\mu}(0) V_T^{-1} \right) |-P, -\vec{s}_\perp\rangle \end{aligned}$$

**Time-reversal invariance:** 

$$\langle \boldsymbol{\alpha_T} | \boldsymbol{\beta_T} \rangle = \langle \boldsymbol{\alpha} | V_T^{\dagger} V_T | \boldsymbol{\beta} \rangle = \langle \boldsymbol{\alpha} | \boldsymbol{\beta} \rangle^* = \langle \boldsymbol{\beta} | \boldsymbol{\alpha} \rangle$$
$$\langle -P, -\vec{s_\perp} | \left( V_T j_{\nu}^{\dagger}(\boldsymbol{y}) V_T^{-1} \right) \left( V_T j_{\mu}(0) V_T^{-1} \right) | -P, -\vec{s_\perp} \rangle$$
$$\equiv \langle P, \vec{s_\perp} | j_{\mu}^{\dagger}(0) j_{\nu}(\boldsymbol{y}) | P, \vec{s_\perp} \rangle$$



**Parity invariance:** 
$$\begin{split} 1 &= U_P^{-1} U_P = U_P^{\dagger} U_P \\ \langle -P, -\vec{s}_{\perp} | \left( V_T j_{\nu}^{\dagger}(y) V_T^{-1} \right) \left( V_T j_{\mu}(0) V_T^{-1} \right) | -P, -\vec{s}_{\perp} \rangle \end{split}$$
 $\langle P, -\vec{s}_{\perp} | \left( U_P V_T j_{\nu}^{\dagger}(y) V_T^{-1} U_P^{-1} \right) \left( U_P V_T j_{\mu}(0) V_T^{-1} U_P^{-1} \right) | P, -\vec{s}_{\perp} \rangle$  $\langle P, -\vec{s}_{\perp} | j^{\dagger}_{\mu}(-\underline{y}) j_{\mu}(0) | P, -\vec{s}_{\perp} \rangle$ Translation invariance:  $\begin{aligned} \langle P, -\vec{s}_{\perp} | j_{\nu}^{\dagger}(0) j_{\mu}(\boldsymbol{y}) | P, -\vec{s}_{\perp} \rangle \\ &= \langle P, \vec{s}_{\perp} | j_{\mu}^{\dagger}(0) j_{\nu}(\boldsymbol{y}) | P, \vec{s}_{\perp} \rangle \end{aligned}$ **Polarized cross section:** 

$$\begin{aligned} \Delta\sigma(\vec{s}_{\perp}) \propto L^{\mu\nu} \ [W_{\mu\nu}(\vec{s}_{\perp}) - W_{\mu\nu}(-\vec{s}_{\perp})] \\ = L^{\mu\nu} \ [W_{\mu\nu}(\vec{s}_{\perp}) - W_{\nu\mu}(\vec{s}_{\perp})] = 0 \end{aligned}$$



## $A_N$ in Hadronic Collisions

 $\Box$  A<sub>N</sub> - consistently observed for over 40 years!





**Survived the highest RHIC energy:** 





*Do we understand this?* Jefferson Lab

## $A_N$ in Hadronic Collisions



U What do we need?

Too small to explain available data!

$$A_N \propto i \vec{s}_p \cdot (\vec{p}_h \times \vec{p}_T) \Rightarrow i \epsilon^{\mu\nu\alpha\beta} p_{h\mu} s_\nu p_\alpha p'_{h\beta}$$

Need a phase, a spin flip, enough vectors

□ Vanish without parton's transverse motion:

A direct probe for parton's transverse motion,

Spin-orbital correlation, QCD quantum interference



## Current Understanding of $A_N$

#### **Symmetry plays important role:**



Inclusive DIS Single scale Q

**One scale observables** –  $Q >> \Lambda_{QCD}$ :



SIDIS:  $Q \sim P_T$ 



DY:  $Q \sim P_T$ ; Jet, Particle:  $P_T$ 



**Parity** 

**Time-reversal** 

Collinear factorization Twist-3 distributions

**Two scales observables** –  $Q_1 >> Q_2 \sim \Lambda_{QCD}$ :



SIDIS: Q>>P<sub>T</sub>



**DY:**  $Q >> P_T$  or  $Q << P_T$ 





 $A_N = 0$ 

### How Collinear Factorization Generates A<sub>N</sub>?

**Collinear factorization beyond leading power:** 





□ Single transverse spin asymmetry:

#### **Twist-2 distributions:**

- Unpolarized PDFs:
- Polarized PDFs:

$$\begin{split} q(x) &\propto \langle P | \overline{\psi}_q(0) \frac{\gamma^+}{2} \psi_q(y) | P \rangle \\ G(x) &\propto \langle P | F^{+\mu}(0) F^{+\nu}(y) | P \rangle (-g_{\mu\nu}) \\ \Delta q(x) &\propto \langle P, S_{\parallel} | \overline{\psi}_q(0) \frac{\gamma^+ \gamma^5}{2} \psi_q(y) | P, S_{\parallel} \rangle \\ \Delta G(x) &\propto \langle P, S_{\parallel} | F^{+\mu}(0) F^{+\nu}(y) | P, S_{\parallel} \rangle (i\epsilon_{\perp \mu\nu}) \end{split}$$

#### □ Two-sets Twist-3 correlation functions: *No probability interpretation!*

$$\widetilde{T}_{q,F} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2P^+ y_2^-} \langle P, s_T | \overline{\psi}_q(0) \frac{\gamma^+}{2} \left[ \epsilon^{s_T \sigma n \bar{n}} F_{\sigma}^+(y_2^-) \right] \psi_q(y_1^-) | P, s_T \rangle$$
Kang, Qiu, 2009

$$\begin{split} \widetilde{T}_{G,F}^{(f,d)} &= \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2P^+ y_2^-} \frac{1}{P^+} \langle P, s_T | F^{+\rho}(0) \left[ \epsilon^{s_T \sigma n\bar{n}} F_{\sigma}^+(y_2^-) \right] F^{+\lambda}(y_1^-) | P, s_T \rangle (-g_{\rho\lambda}) \\ \widetilde{T}_{\Delta q,F} &= \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2P^+ y_2^-} \langle P, s_T | \overline{\psi}_q(0) \frac{\gamma^+ \gamma^5}{2} \left[ i s_T^\sigma F_{\sigma}^+(y_2^-) \right] \psi_q(y_1^-) | P, s_T \rangle \\ \widetilde{T}_{\Delta G,F}^{(f,d)} &= \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2P^+ y_2^-} \frac{1}{P^+} \langle P, s_T | F^{+\rho}(0) \left[ i s_T^\sigma F_{\sigma}^+(y_2^-) \right] F^{+\lambda}(y_1^-) | P, s_T \rangle \left( i \epsilon_{\perp \rho\lambda} \right) \end{split}$$

**Role of color magnetic force!** 

**Twist-3 fragmentation functions:** 

See Kang, Yuan, Zhou, 2010, Kang 2010



### "Interpretation" of twist-3 correlation functions

□ Measurement of direct QCD quantum interference:

Qiu, Sterman, 1991, ...



Interference between a single active parton state and an active two-parton composite state

"Expectation value" of QCD operators:

$$\langle P, s | \overline{\psi}(0) \gamma^{+} \psi(y^{-}) | P, s \rangle \longrightarrow \langle P, s | \overline{\psi}(0) \gamma^{+} \left[ \epsilon_{\perp}^{\alpha\beta} s_{T\alpha} \int dy_{2}^{-} F_{\beta}^{+}(y_{2}^{-}) \right] \psi(y^{-}) | P, s \rangle$$

$$\langle P, s | \overline{\psi}(0) \gamma^{+} \gamma_{5} \psi(y^{-}) | P, s \rangle \longrightarrow \langle P, s | \overline{\psi}(0) \gamma^{+} \left[ i g_{\perp}^{\alpha\beta} s_{T\alpha} \int dy_{2}^{-} F_{\beta}^{+}(y_{2}^{-}) \right] \psi(y^{-}) | P, s \rangle$$

How to interpret the "expectation value" of the operators in RED?



### A simple example

#### □ The operator in Red – a classical Abelian case:

Qiu, Sterman, 1998





**Change of transverse momentum:** 

$$rac{d}{dt}p_2' = e(ec{v}' imes ec{B})_2 = -ev_3B_1 = ev_3F_{23}$$

□ In the c.m. frame:

$$(m,\vec{0}) \rightarrow \bar{n} = (1,0,0_T), \quad (1,-\hat{z}) \rightarrow n = (0,1,0_T)$$
$$\implies \frac{d}{dt} p_2' = e \,\epsilon^{s_T \sigma n \bar{n}} F_{\sigma}^{+}$$

□ The total change:

$$\Delta p_2' = e \int dy^- \epsilon^{s_T \sigma n \bar{n}} F_{\sigma}^+(y^-)$$

Net quark transverse momentum imbalance caused by color Lorentz force inside a transversely polarized proton



### Test QCD at Twist-3 Level

#### □ Scaling violation – "DGLAP" evolution:

Kang, Qiu, 2009

$$\mu_{F}^{2} \frac{\partial}{\partial \mu_{F}^{2}} \begin{pmatrix} \tilde{T}_{q,F} \\ \tilde{T}_{\Delta q,F} \\ \tilde{T}_{G,F} \\ \tilde{T}_{G,F} \\ \tilde{T}_{G,F} \\ \tilde{T}_{G,F} \\ \tilde{T}_{\Delta G,F} \\ \tilde{T}_{\Delta G,F} \end{pmatrix} = \begin{pmatrix} K_{qq} & K_{q\Delta q} & K_{qG}^{(f)} & K_{qG}^{(d)} & K_{q\Delta G}^{(f)} & K_{q\Delta G}^{(d)} \\ K_{\Delta qq} & K_{\Delta q\Delta q} & K_{\Delta qA}^{(f)} & K_{\Delta qq}^{(f)} & K_{\Delta qA}^{(f)} & K_{\Delta qA}^{(f)} \\ K_{\Delta qq} & K_{\Delta q\Delta q} & K_{\Delta qA}^{(f)} & K_{GA}^{(f)} & K_{GA}^{(f)} & K_{GA}^{(f)} \\ K_{Gq}^{(f)} & K_{G\Delta q}^{(f)} & K_{GG}^{(f)} & K_{GA}^{(f)} & K_{G\Delta G}^{(f)} & K_{G\Delta G}^{(f)} \\ K_{Gq}^{(f)} & K_{G\Delta q}^{(f)} & K_{GG}^{(f)} & K_{GG}^{(f)} & K_{G\Delta G}^{(f)} & K_{G\Delta G}^{(f)} \\ \tilde{T}_{\Delta G,F}^{(f)} \\ \tilde{T}_{\Delta G,F}^{(d)} \\ \end{pmatrix} \times \begin{pmatrix} (\xi, \xi + \xi_{2}; x, x + x_{2}, \alpha_{s}) \end{pmatrix} \begin{pmatrix} \tilde{T}_{d\xi} \int d\xi_{2} \end{pmatrix}$$

**Evolution equation – consequence of factorization:** 

**Factorization:** 

**DGLAP for f<sub>2</sub>:** 

**Evolution for f**<sub>3</sub>:

$$\begin{split} &\Delta\sigma(Q,s_T) = (1/Q)H_1(Q/\mu_F,\alpha_s) \otimes f_2(\mu_F) \otimes f_3(\mu_F) \\ &\frac{\partial}{\partial \ln(\mu_F)} f_2(\mu_F) = P_2 \otimes f_2(\mu_F) \\ &\frac{\partial}{\partial \ln(\mu_F)} f_3 = \left(\frac{\partial}{\partial \ln(\mu_F)} H_1^{(1)} - P_2^{(1)}\right) \otimes f_3 \end{split}$$

### **Evolution Kernels – an Example**

#### **Quark to quark:**

Kang, Qiu, 2009

$$\mathcal{P}_{q,F}^{(\mathrm{LC})} = \frac{1}{2}\gamma \cdot P\left(\frac{-1}{\xi_{2}}\right)(i\epsilon^{s_{T}\rho n\bar{n}})\tilde{C}_{q}$$

$$\mathcal{P}_{q,F}^{(\mathrm{LC})} = \frac{1}{2}\gamma \cdot P\left(\frac{-1}{\xi_{2}}\right)(i\epsilon^{s_{T}\rho n\bar{n}})\tilde{C}_{q}$$

$$\mathcal{V}_{q,F}^{(\mathrm{LC})} = \frac{\gamma^{+}}{2P^{+}}\delta\left(x - \frac{k^{+}}{P^{+}}\right)x_{2}\delta\left(x_{2} - \frac{k_{2}^{+}}{P^{+}}\right)(i\epsilon^{s_{T}\sigma n\bar{n}}[-g_{\sigma\mu}]C_{q}$$

#### **Feynman diagram calculation:**

$$\begin{array}{c} p & P_{2} \\ P_{2} \\ k \\ k_{2} \\ k_{2}$$

+ Virtual loop diagrams



### **How TMD Factorization Generates A<sub>N</sub>?**

□ SIDIS – "one-photon approximation":

- 18 Structure functions
- $A_N$  = at least one of 6  $F_{UT}$  structure functions needs to be finite!

$$\begin{aligned} \frac{d\sigma}{dx\,dy\,d\psi\,dz\,d\phi_h\,dP_{h\perp}^2} &= \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1+\frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\,\varepsilon(1+\varepsilon)}\,\cos\phi_h F_{UU}^{\cos\phi_h} \right. \\ &+ \varepsilon \cos(2\phi_h) F_{UU}^{\cos2\phi_h} + \lambda_e \sqrt{2\,\varepsilon(1-\varepsilon)}\,\sin\phi_h F_{LU}^{\sin\phi_h} \\ &+ S_{\parallel} \left[ \sqrt{2\,\varepsilon(1+\varepsilon)}\,\sin\phi_h F_{UL}^{\sin\phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin2\phi_h} \right] \\ &+ S_{\parallel}\lambda_e \left[ \sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\,\varepsilon(1-\varepsilon)}\,\cos\phi_h F_{LL}^{\cos\phi_h} \right] \\ &+ S_{\parallel}\lambda_e \left[ \sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\,\varepsilon(1-\varepsilon)}\,\cos\phi_h F_{LL}^{\sin(\phi_h-\phi_S)} \right] \\ &+ \varepsilon \sin(\phi_h - \phi_S) \left( F_{UT,T}^{\sin(\phi_h-\phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h-\phi_S)} \right) \\ &+ \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h+\phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h-\phi_S)} \\ &+ \sqrt{2\,\varepsilon(1+\varepsilon)}\,\sin\phi_S F_{UT}^{\sin\phi_S} + \sqrt{2\,\varepsilon(1+\varepsilon)}\,\sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h-\phi_S)} \right] \\ &+ \left| S_{\perp} \right| \lambda_e \left[ \sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h-\phi_S)} + \sqrt{2\,\varepsilon(1-\varepsilon)}\,\cos\phi_S F_{LT}^{\cos\phi_S} \\ &+ \sqrt{2\,\varepsilon(1-\varepsilon)}\,\cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h-\phi_S)} \right] \right\} \end{aligned}$$

## How TMD Factorization Generates $A_N$ ?

### **TMD** factorization for SIDIS:

In the photon-hadron frame, 8 of 18 structure functions can be factorized in terms of convolution of TMDs at leading power

Unpolarized:

$$F_{UU,T} = x \sum_{a} e_{a}^{2} \int d^{2} \boldsymbol{p}_{T} d^{2} \boldsymbol{k}_{T} \,\delta^{(2)} \left( \boldsymbol{p}_{T} - \boldsymbol{k}_{T} - \boldsymbol{P}_{h\perp}/z \right) f^{a}(x, p_{T}^{2}) \,D^{a}(z, k_{T}^{2})$$

Transverse Single-Spin Asymmetry – Sivers:

$$F_{UT,T}^{\sin(\phi_h-\phi_S)} = \mathcal{C}\left[-rac{\hat{oldsymbol{h}}\cdotoldsymbol{p}_T}{M}f_{1T}^{\perp}D_1
ight]$$

Transverse Single-Spin Asymmetry – Collins:

$$F_{UT}^{\sin(\phi_h+\phi_S)} = \mathcal{C}\left[-rac{\hat{oldsymbol{h}}\cdotoldsymbol{k}_T}{M_h}h_1H_1^{\perp}
ight]$$

With:

$$\mathcal{C}[wfD] = x \sum_{a} e_{a}^{2} \int d^{2} \boldsymbol{p}_{T} d^{2} \boldsymbol{k}_{T} \,\delta^{(2)} \left(\boldsymbol{p}_{T} - \boldsymbol{k}_{T} - \boldsymbol{P}_{h\perp}/z\right) w(\boldsymbol{p}_{T}, \boldsymbol{k}_{T}) \,f^{a}(x, p_{T}^{2}) \,D^{a}(z, k_{T}^{2})$$

 $\hat{m{h}} = rac{m{P}_{h\perp}}{|m{P}_{h\perp}|}$ 



OAM: Correlation between parton's position and its motion – in an averaged (or probability) sense

□ Jaffe-Manohar's quark OAM density:

$$\mathcal{L}_q^3 = \psi_q^\dagger \left[ \vec{x} \times (-i\vec{\partial}) \right]^3 \psi_q$$

**Ji's quark OAM density:** 

$$L_q^3 = \psi_q^\dagger \left[ \vec{x} \times (-i\vec{D}) \right]^3 \psi_q$$

**Difference between them:** 

 $\diamond\,$  generated by a "torque" of color Lorentz force

Similar color Lorentz force generates the single transverse-spin asymmetry (Qiu-Sterman function), and is also responsible for the twist-3 part of g<sub>2</sub>

Hatta, Yoshida, Burkardt, Meissner, Metz, Schlegel,

...

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### **Nucleon Spin and OAM From Lattice QCD**

#### See lectures by Huey-Wen Lin

#### **QCD** Collaboration:

Deka et al. Phys.Rev.D91 (2015) 014505



#### **G** Fully unintegrated distribution:

Meissner, Metz, Schiegel, 2009

Belitsky, Ji, Yuan

$$W_{\lambda\lambda'}^{[\Gamma]}(P,k,\Delta,N;\eta) = \frac{1}{2} \int \frac{d^4z}{(2\pi)^4} e^{ik\cdot z} \langle p',\lambda' | \,\bar{\psi}(-\frac{1}{2}z) \,\Gamma \,\mathcal{W}(-\frac{1}{2}z,\frac{1}{2}z \,|\, n) \,\psi(\frac{1}{2}z) \,|p,\lambda\rangle$$

- in general, not factorizable from the rest of the scattering

Generalized TMDs – hard probe:

$$\mathcal{W}(x,k_T,\Delta)_{\Gamma} = \int dk^2 W(P,k,\Delta)_{\Gamma}$$

- Could be factorized assuming on-shell parton for the hard probe

□ Wigner function:

$$W(x, k_T, b) \propto \int d^3 \Delta e^{i \vec{b} \cdot \vec{\Delta}} \mathcal{W}(x, k_T, \Delta)_{\Gamma = \gamma^+}$$

**Connection to all other known distributions:** 





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THE COLLEGE OF ARTS + SCIENCES
Department of Physics

July 15 – July 26, 2024 Bloomington, IN

# **The Electron-Ion Collider (EIC)**

Lec. 1: EIC & Fundamentals of QCD Lec. 2: Probing Structure of Hadrons without seeing Quark/Gluon? - breaking the hadron! Lec. 3: Probing Structure of Hadrons with polarized beam(s) - Spin as another knob Lec. 4: Probing Structure of Hadrons without breaking them? **Dense Systems of gluons** - Nuclei as Femtosize Detectors





Jianwei Qiu Theory Center, Jefferson Lab





Office of Science

### **BACKUP SLIDES**



### **Lattice QCD Calculation of Hadron Structure**

Hadron structure measured with a hard probe: Probing operators living on the "light-Cone",

 $q(x) \propto \mathrm{F.T.}\langle P | \overline{\psi}_q(-y^-) \Gamma \Phi \psi_q(y^-) | P \rangle |_{y^+=0,y_\perp=0_\perp}$ 

#### PDFs are boost invariant with twist-2 operators

Such matrix elements are non-perturbative, and Cannot be calculated by lattice QCD directly, because of its Euclidean space formulation

### **Quasi-PDFs approach:**

$$\begin{split} \tilde{q}(\tilde{x}, P_z) \propto \mathrm{F.T.} \langle P | \overline{\psi}_q(-z) \Gamma \Phi \psi_q(z) | P \rangle |_{y^0 = 0, y_\perp = 0_\perp} \\ \to q(x) \quad \mathrm{as} \ P_z \to \infty \end{split} \tag{Calculable in LQCL}$$

Quasi-PDFs are NOT boost invariant, not by twist-2 operators In Lattice QCD calculation, difficult to take  $P_z \to \infty$ 

Matching - Formulated in LaMET:

$$\tilde{q}(\tilde{x}, P_z) = \int_x^1 \frac{dx}{x} Z\left(\frac{\tilde{x}}{x}, \frac{\mu}{P_z}\right) q(x, \mu^2) + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}^2}{\tilde{x}^2(1 - \tilde{x})P_z^2}, \frac{M^2}{P_z^2}\right)$$

Extracting PDFs requires solving the inverse problem



**Space-like parton correlation functions (PCFs):** 

$$\langle P|\overline{\psi}_q(-z)\Gamma\Phi\psi_q(z)|P\rangle|_{y^0=0,y_\perp=0_\perp}$$



**Renormalization of space-like PCFs:** 

UV divergence is a property of the operator, not the state

$$\langle P|\mathcal{O}(z)|P\rangle_{\mathrm{Ren}} \equiv \frac{\langle P|\mathcal{O}(z)|P\rangle}{\langle \mathrm{RS}|\mathcal{O}(z)|\mathrm{RS}\rangle}$$

**Renormalization scheme = different choice of the state**  $|RS\rangle$ 

**RI-MOM for quasi-PDFs:** $|RS\rangle = An \text{ off-shell parton state}$ **Pseudo-PDFs:** $|RS\rangle = |P_z = 0\rangle$ **Vacuum-state:** $|RS\rangle = |\Omega\rangle$ arXiv:1810.00048<br/>arXiv:2006.12370

arXiv:1705.11193 arXiv:1709.04933 arXiv:1706.05373



 $\langle P|F^{\alpha\beta}(-z)\Phi F^{\mu\nu}(z)|P\rangle|_{y^0=0,y_\perp=0_\perp}$ 

Unlike measured cross section,

- They are not physically measured observable
- Their value depend on UV renormalization
- They have UV power divergence
- They are multiplicatively renormalizable

### **Lattice QCD Calculation of Hadron Structure**

#### □ Short-distance factorization approach:

- Single hadron matrix element:
- Two-parton correlator:

 $\sigma_n(\omega,\xi^2,P^2) = \langle P | T\{\mathcal{O}_n(\xi)\} | P \rangle$ 

 $\mathcal{O}_{j_1 j_2}(\xi) \equiv \xi^{d_{j_1} + d_{j_2} - 2} Z_{j_1}^{-1} Z_{j_2}^{-1} j_1(\xi) j_2(0)$ 

Ma and Qiu, arXiv:1404.6860 arXiv:1709.03018

Corrections

Approximation

Jefferson Lab

with loffe time:  $\omega \equiv P \cdot \xi, \ \xi^2 \neq 0, \ \text{and} \ \xi_0 = 0;$   $\mathcal{O}_q(\xi) = Z_q^{-1}(\xi^2) \overline{\psi}_q(\xi) \ \gamma \cdot \xi \Phi(\xi, 0) \ \psi_q(0)$ Same operator for quasi-PDFs  $\Phi(\xi, 0) = \mathcal{P}e^{-ig \int_0^1 \xi \cdot A(\lambda\xi) \ d\lambda}$ 

**Parton-distribution** 

Structure

Two-current correlator:



 $\sigma_n(\omega,\xi^2,P^2) = \sum_a \int_{-1}^1 \frac{dx}{x} f_a(x,\mu^2) \times K_n^a(x\omega,\xi^2,x^2P^2,\mu^2) + O(\xi^2 \Lambda_{\text{QCD}}^2)$ 

Hard-part

Probe

Extracting PDFs requires solving the inverse problem